

Annex

TEMPLATE FOR SUBMISSION OF INFORMATION ON THE STATUS OF IMPLEMENTATION OF THE FRAMEWORK AND ACTION PLAN FOR CAPACITY-BUILDING FOR THE EFFECTIVE IMPLEMENTATION OF THE CARTAGENA PROTOCOL ON BIOSAFETY

NOTE: Please enter text in **column C**, including a summary of the results of the activities undertaken, good practices and/or lessons learned, next to the relevant activity in column B, as appropriate. Please only write where relevant, leaving cells blank where no relevant activities were undertaken.

Focal area 1: National biosafety frameworks		
Operational objective 1		
To further support the development and implementation of national regulatory and administrative systems.		
Outcomes		
A. Results/Outputs	B. Planned Activities	C. Summary of results of activities undertaken, good practices and lessons learned [Enter text in column C, next to the relevant activity in column B, as appropriate]
(a) National biosafety policies, laws and regulations in place and being implemented (b) National institutions and administrative systems for handling LMO applications in place (c) Standard operating procedures for handling LMO applications in place (d) Provisions made in the national annual budgets	1.1 Development and implementation/enforcement of national biosafety policies and laws and the implementing regulations or guidelines	Le Burkina Faso a adopté depuis 2004 les règles nationales en matière de sécurité en biotechnologie au Burkina Faso. En 2005, l'Agence nationale de biosécurité a été créée comme l'autorité nationale compétente en matière biosécurité ainsi que les organes consultatifs tels que le comité scientifique nationale de biosécurité et l'observatoire national de biosécurité. En 2006, elle a adopté une première loi encadrant les activités de la biotechnologie moderne. En 2012, une nouvelle loi a été adoptée pour prendre en compte le protocole additionnel de Nagoya Kuala Lumpur sur les responsabilités et les réparations des dommages. C'est la loi 064-2012 / AN du 20 décembre 2012. Les décrets d'applications de la loi ont été adoptés aussi. Les procédures opérationnelles normalisées ont aussi été élaborées. Les membres de

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for operationalizing the national biosafety system (e) Trained staff in place to administer the national biosafety system		l'ANB, du CSNB et de l'ONB ont été formés sur leurs usages et ils sont pris en compte dans un processus de formation continue pour la gestion. Les structures mises en place bénéficient d'un budget annuel de fonctionnement du gouvernement du Burkina Faso.
(f) Biosafety is mainstreamed into broader development plans and sectoral policies and programmes, including the national biodiversity strategies and action plans	1.2 Development of a best practice guide on: (i) Implementation of national biosafety frameworks;	
	(ii) Enforcement of national biosafety laws and regulations;	
	(iii) Establishment and management of administrative systems; and	
	(iv) Mainstreaming of biosafety into relevant policies/plans	Un document de plaidoyer pour l'intégration de la biosécurité dans les politiques sectorielles a été élaboré au profit des secteurs ministériels du Burkina Faso.
	1.3 Development of training modules based on elements of the above guide	
	1.4 Organization of training of trainers workshops on the elements of the best practice guide responsible for administering the biosafety regulatory systems	
	1.5 Development and/or implementation of an electronic system for: (i) handling of notifications and	Un système électronique de réception des dossiers électroniques via le courrier électronique de l'Agence nationale de Biosécurité et de gestion électronique des notifications existe. Il existe aussi des procédures pour le traitement des dossiers.

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	(ii) registration of applications and approvals/decisions taken	Un système électronique de gestion des dossiers de demandes d'autorisation et des décisions prises existe.
	1.6 Organization of training courses and on-the-job training programmes for personnel	Organisation de formations pour le renforcement du personnel du cadre national de biosécurité (Règlementation, Inspection post-dissémination, Evaluation des risques) et de formation des acteurs des comités internes de biosécurité (CIB).

Focal area 2: Risk assessment and risk management

Operational objective 2

To enable Parties to evaluate, apply, share and carry out risk assessments and establish local science-based capacities to regulate, manage, monitor and control risks of living modified organisms (LMOs).

Outcomes	A. Results/Outputs	B. Planned Activities	C. Summary of results of activities undertaken, good practices and lessons learned [Enter text in column C, next to the relevant activity in column B, as appropriate]
	(a) Parties have trained experts in fields relevant for risk assessment and risk management (b) Guidance on risk assessment and risk management of LMOs readily	2.1 Establishment of institutional arrangements (e.g., technical and advisory committees or other arrangements) for conducting or reviewing risk assessments	Deux organes consultatifs de l'ANB ont été mis en place par décret à savoir le comité scientifique national de biosécurité (CSNB) et l'observatoire national de biosécurité (ONB). Le CSNB est chargé de l'évaluation des risques des dossiers d'application reçus par l'ANB et est composé de scientifiques provenant des institutions gouvernementales. L'Observatoire est composé de différents membres du secteur public, du secteur privé, de la société civile, des associations et des religieux. C'est

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available and being used by Parties (c) Local experts conducting risk assessments and/or risk assessment audits as part of decision-making regarding LMOs (d) Parties submitting risk assessment summaries to the BCH (e) Baseline data on biodiversity relevant for risk assessment and risk management available (f) Parties have the necessary infrastructure for risk assessment and risk management (g) Parties using science-based risk assessment methods (h) Parties have LMO monitoring programmes based on defined protection goals, risk hypotheses and relevant assessment endpoints	2.2 Organization of training-of-trainers workshops on risk assessment and risk management 2.3 Development of guidance documents on risk assessment and risk management 2.4 Development or strengthening of technical infrastructure for risk assessment and risk management 2.5 Conducting scientific biosafety research relating to LMOs 2.6 Review of existing data and/or conducting new research to acquire data on biodiversity for	un organe de veille et de sensibilisation du public. Il fait des observations sur les dossiers d'application. Mise en place aussi des comités internes de biosécurité (CIB) dans les structures qui travaillent avec les OGM. Ces CIB vérifient la conformité des documents soumis à l'ANB, effectuent une évaluation des risques des dossiers de leur structure avant soumission à l'ANB, et vérifient la mise en œuvre des mesures de biosécurité édictées par l'ANB. Des sessions de formation régulières ont été faites au profit des membres du cadre national sur l'évaluation des risques et la gestion des risques avec l'appui des experts nationaux et internationaux. Un décret portant évaluation des risques biotechnologiques a été adopté et est utilisé par le CSNB pour l'évaluation des risques des dossiers d'applications. Un guide a aussi été élaboré à l'intention des comités internes de biosécurité pour l'évaluation des risques. Le laboratoire national de biosécurité (LNB) a été créé pour servir d'infrastructure technique à l'évaluation et à la gestion des risques. Ce laboratoire a une vocation régionale (pour les pays de l'UEMOA). Des recherches scientifiques en matière de biosécurité ont été conduites notamment sur le coton Bt et d'autres sont en cours sur le niébé Bt et les moustiques génétiquement modifiés. Quelques inventaires ont été réalisées notamment sur le maïs et la biodiversité au Burkina Faso.
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	specific ecological areas (e.g., botanical files, consensus documents, national inventories, etc.) relevant to risk assessment and risk management	
	2.7 Establishment and maintenance of user-friendly databases to facilitate easy access to data on biodiversity relevant for risk assessment and risk management	
	2.8 Development of LMO monitoring frameworks and programmes, including post-release monitoring of LMOs	Un guide pour les inspections et des outils (les check-lists et les procédures, etc...) ont été élaboré pour le suivi de toutes les activités liées aux OVM.
	2.9 Training of scientists, phytosanitary officers, inspectors and other relevant officials on LMO monitoring, enforcement and emergency response	Des formations au profit des scientifiques, des agents phytosanitaires, des agents vétérinaires, de la police de scientifique et les agents aux frontières ont été organisées pour la surveillance et la détection rapide des OVM.

Focal area 3: Handling, transport, packaging and identification

Operational objective 3

To develop capacity for handling, transport, packaging and identification of living modified organisms.

Outcomes	<ul style="list-style-type: none">Customs/border control officials and other officials are able to enforce the Protocol's requirements related to handling, transport, packaging and identification of LMOs;Personnel are trained and equipped for sampling, detection and identification of LMOs.	C. Summary of results of activities undertaken, good practices and lessons learned [Enter text in column C, next to the relevant activity in column B, as appropriate]
A. Results/Outputs	B. Planned Activities	

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(a) National systems for implementing the Protocol's requirements on the handling, transport, packaging and identification of LMOs in place and are operational (b) National systems, including standard operating procedures, for detection and identification of LMOs in place	3.1 Establishment of national systems for implementing the Protocol's requirements on the handling, transport, packaging and identification of LMOs	Un système national a été mis en place et est fonctionnel. L'Agence nationale de biosécurité est l'autorité nationale compétente qui reçoit les demandes d'importation / transit / d'exportation et donne les autorisations nécessaires. Lors des importations et des exportations, la direction de la réglementation, de l'inspection et du contrôle inspecte pour s'assurer du respect des normes de biosécurité dans la manipulation, le transport et l'emballage des LMO. Le laboratoire national de biosécurité est en charge de l'identification et la détection des OVM au Burkina Faso.
(c) Local experts able to detect and identify LMOs in shipments (d) Capacity for verification and certification of documentation accompanying LMO shipments at the points of entry in place	3.2 Development of national systems to implement international rules and standards for sampling and detection of LMOs to facilitate mutual recognition of LMO identification results within and between countries	Le processus est en cours
(e) Certified LMO testing facilities established at national and (sub)regional levels (f) Systems for traceability and labelling of LMOs in place	3.3 Establishment of mechanisms for auditing the efficacy of the national systems for handling, transport, packaging and identification of LMOs	
(g) Regional and sub regional networks of	3.4 Organization of national and (sub)regional training workshops on LMO documentation and identification requirements for customs and border control officials and other relevant stakeholders	Des formations ont été dispensées aux agents des frontières (Douanes, Eaux-forêts, Police, Service phytosanitaire et Vétérinaires) sur les exigences réglementaires et documentaires relativement aux mouvements transfrontières des OVM.
	3.5 Development of standardized forms and checklists on identification requirements for use in verification of the documentation accompanying LMO shipments	L'ANB a développé des check-lists et des procédures pour les inspections et les contrôles de la documentation, de l'emballage et de l'étiquetage des colis OGM. Des outils de communications ont aussi été élaborés sur les

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laboratories for LMO detection and identification established		exigences réglementaires et documentaires au profit des agents de frontières.
	3.6 Development of methodologies and protocols for sampling and detection of LMOs and/or adapting existing ones	Adaptation des méthodes et des protocoles du Joint research center pour l'échantillonnage et la détection des OVM.
	3.7 Organization of trainings for local scientists and laboratory technicians in LMO detection and analysis	Des formations au profit des techniciens et des scientifiques ont été organisées sur la détection et l'identification des OGM aussi bien au niveau national qu'international.
	3.8 Establishment of infrastructure for detection and identification of LMOs, including accredited laboratories	Le laboratoire national de biosécurité a été créé pour la détection et l'identification des OGM.
	3.9 Establishment of (sub)regional networks of laboratories for LMO detection	Des réunions des laboratoires de biosécurité ont eu lieu au niveau de la sous-région UEMOA. Un cadre formel pour la mise en place du réseau des laboratoires est en cours d'élaboration.

Focal area 4: Liability and redress

Operational objective 4

To assist Parties to the Protocol to establish and apply rules and procedures on liability and redress for damage resulting from the transboundary movements of living modified organisms, in accordance with the Nagoya – Kuala Lumpur Supplementary Protocol on Liability and Redress.

Outcomes

- Institutional mechanisms or processes identified or established to facilitate the implementation of the Nagoya – Kuala Lumpur Supplementary Protocol on Liability and Redress.

A. Results/Outputs	B. Planned Activities	C. Summary of results of activities undertaken, good practices and lessons learned

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		[Enter text in column C, next to the relevant activity in column B, as appropriate]
(a) Existing national policies, laws and administrative systems identified and used, and/or amended, to implement the Supplementary Protocol requirements	4.1 Analysis of existing national policies, laws and institutional mechanisms to determine how they address or could address the requirements of the Supplementary Protocol	L'adoption de la loi 064-2012/AN du 20 décembre 2012 répond au souci de la prise en compte du protocole additionnel de Nagoya Kuala Lumpur ratifié par le gouvernement du Burkina Faso.
(b) Guidance available and being used by competent authorities in the discharge of their responsibilities under the Supplementary Protocol	4.2 Establishment of new, or amendment of existing, domestic legal and administrative frameworks to implement the requirements of the Supplementary Protocol	La loi 064-2012/AN du 20 décembre 2012 est une nouvelle basée sur la loi 2006 mais qui comporte de nouvelles dispositions relatives aux exigences du protocole additionnel de Nagoya-Kuala Lumpur.
(c) National capacity for determining appropriate response measures in the event of damage developed	4.3 Development of guidance to assist competent authorities in discharging their responsibilities under the Supplementary Protocol	
(d) User-friendly databases/knowledge management systems in place and being used to establish baselines and to monitor the status of biodiversity	4.4 Organization of training activities to strengthen the scientific and technical capacity of the competent authorities to be able to evaluate damage, establish causal links and determine appropriate response measures	
(e) Financial and other support being provided by the GEF, bilateral and multilateral donors and relevant organizations for the ratification and	4.5 Establishment of databases and knowledge management systems to facilitate the establishment of baselines and monitoring of the status of biodiversity at genetic, species and ecosystem levels	
	4.6 Strengthening national capacity to provide for administrative or judicial review of decisions on response measures to be taken by the operator in accordance with Article 5.6 of the Supplementary Protocol	Une formation des juristes a été organisée sur l'Article 5.6 du protocole additionnel.

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<p>implementation of the Supplementary Protocol (f) Best practices and lessons learned in the implementation of the Supplementary Protocol available through the BCH</p>	<p>4.7 Compilation and exchange of information on experiences and lessons learned in the implementation of the Supplementary Protocol through the BCH</p> <p>4.8 Mobilization of financial and other support for ratification and implementation of the Supplementary Protocol</p>	<p>La ratification et l'implémentation du protocole additionnel ont été fait par mobilisation du budget de l'Etat du Burkina Faso et des partenaires.</p>
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Focal area 5: Public awareness, education and participation

Operational objective 5

To enhance capacity at the national, regional and international levels that would facilitate efforts to raise public awareness, and promote education and participation concerning the safe transfer, handling and use of living modified organisms.

Outcomes	A. Results/Outputs	B. Planned Activities	C. Summary of results of activities undertaken, good practices and lessons learned [Enter text in column C, next to the relevant activity in column B, as appropriate]
<p>(a) Programmes for promoting public awareness are being implemented</p> <p>(b) Guidance materials and toolkits including methodologies and best practices for promoting</p>	<p>5.1 Collection of information on legal frameworks and mechanisms put in place and actual experiences on public awareness, education and participation</p>	<p>Un programme de sensibilisation et d'information sur les biotechnologies et la biosécurité a été exécuté à l'intention des populations. Des journées portes ouvertes sur la biotechnologie et biosécurité avec les élèves des lycées et des collèges et les étudiants ont aussi été réalisées. Un autre programme d'information et de sensibilisation a concerné les élèves des écoles professionnelles.</p>	

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<p>public awareness, and promote education and participation in place and being used by Parties</p> <p>(c) Improved mechanisms for public awareness, and promote education and participation</p> <p>(d) Effective implementation of public awareness, and promote education and participation at national, regional and international level</p>	5.2 Development and dissemination of training packages/online modules, guidance materials and other tools for different target groups	Des outils de communications (dépliants, documents, la loi sur la biosécurité) ont été développés et distribués.
	5.3 Organization of regional and national workshops on the implementation of the above guidance/toolkit in order to strengthen or establish national mechanisms for public awareness, education and participation, interlinking with complementary international agreements	
	5.4 Organization of training-of-trainers workshops for biosafety educators, communicators and other government and non-government personnel at national and (sub)regional levels	Des formations de formateurs des sociétés cotonnières, des agents d'encadrement en milieu rural, des enseignants d'universités et des grandes écoles ont été réalisées.
	5.5 Establishment of mechanisms to inform the public about existing opportunities and modalities for participation	Un décret sur l'information et la participation du public à la prise de décision a été adopté. Ce décret indique les canaux et les moyens légaux d'information du public et fixe les conditions de participation du public à la prise de décision en matière de biosécurité.
	5.6 Establishment of national biosafety websites, searchable databases and national resource centres	L'Agence nationale de biosécurité s'est dotée d'un site web fonctionnel. Ce site est en relation avec le portail central du CEPRB.
	5.7 Development and implementation of biosafety public-awareness programs	Des programmes d'information et de sensibilisation sur les biotechnologies et la biosécurité ont été développés. Ils prennent en compte les spécificités des différents groupes cibles. Le message contenu dans les communications a été adapté au groupe cible.

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Focal area 6: Information-sharing		
Operational objective 6		
To ensure that the BCH is easily accessed by all established stakeholders, in particular in developing countries and countries with economies in transition.		
Outcomes		
A. Results/Outputs	B. Planned Activities	C. Summary of results of activities undertaken, good practices and lessons learned [Enter text in column C, next to the relevant activity in column B, as appropriate]
(a) Parties able to register mandatory information in the BCH (b) Parties, non-Parties and other stakeholders are able to post non-mandatory information to the BCH (c) Improved coordination and sharing of experiences on the BCH at national, (sub)regional, and global levels	6.1 Establishment/maintenance of national and regional infrastructure for accessing the BCH 6.2 Development of national and (sub)regional systems for gathering/managing information for submission to the BCH	Le point focal national du CEPRB est nommé et assure l'enregistrement et la publication des informations obligatoires sur le portail central. Un système de collecte et de traitement de l'information est mis en place avec les utilisateurs nationaux autorisés et l'Agence nationale de biosécurité. Un système de promotion et de gestion des informations à publier a été mis en place à travers le Point Focal national, les utilisateurs nationaux autorisés et l'Agence nationale de biosécurité. Au niveau régional, il y a la mise en place d'un réseau des points focaux nationaux du BCH en Afrique francophone, mais le réseau n'est pas fonctionnel.

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<p>(d) Increased awareness and capacity of relevant stakeholders and general public to access information through BCH (e) National systems set up to gather, manage and upload onto the BCH all the information required under the Protocol</p>	<p>6.3 Creation of national websites using, as appropriate, AJAX and Hermes tools</p>	<p>L'ANB bénéficie depuis mai 2017, d'un site web fonctionnel relié au portail central du CEPRB, utilisant l'outil Hermes.</p>
	<p>6.4 Organization of BCH training for specific target groups, using the BCH Regional Advisors' network</p>	<p>Différentes formations sur le CEPRB ont été faites par les conseillers régionaux du CEPRB de 2010 à 2012 au profit du personnel de l'ANB, des chercheurs, des enseignants-chercheurs des universités et des grandes écoles du Burkina Faso. Ces derniers ont à leur tour, formé et sensibilisé leurs collègues et leurs étudiants sur l'utilisation des informations disponibles sur le centre d'échange.</p>
	<p>6.5 Enhancement of cooperation between relevant international organizations on the further development and population of the BCH to maximize use of existing resources, experiences and expertise and to minimize duplication of activities</p>	
	<p>6.6 Organization of training for information management experts on the BCH and putting in place mechanisms to facilitate use of the BCH by various stakeholders</p>	<p>Lors des différentes séances de sensibilisation et d'information du public, les participants sont sensibilisés sur l'existence du centre d'échange et l'utilisation des informations enregistrées.</p>
	<p>6.7 Establishment of mechanisms to enable countries to monitor the use of the BCH at the national level and to address gaps</p>	
	<p>6.8 Continuation of the BCH capacity-building projects at national and (sub)regional levels</p>	
	<p>6.9 Enhancement of the BCH coordination mechanism at the national level, including</p>	<p>Agence nationale de biosécurité travaille avec les différentes institutions comme les universités, les instituts de recherche, le comité national scientifique de</p>

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	interministerial and interagency collaboration with relevant stakeholders	biosécurité, les ministères et les autres agences gouvernementales pour la collecte des informations à publier sur le CEPRB.
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Focal area 7: Biosafety education and training

Operational objective 7

To promote education and training of biosafety professionals through greater coordination and collaboration among academic institutions and relevant organizations.

A. Results/Outputs	B. Planned Activities	C. Summary of results of activities undertaken, good practices and lessons learned <i>[Enter text in column C, next to the appropriate activity in column B, if applicable]</i>
(a) Improved identification of training needs and target audiences	7.1 Undertaking of periodic training needs assessments to ascertain the demand for biosafety education and training programme, and to identify target audiences	
(b) Information on the current situation with regard to existing biosafety-related education and training initiatives available	7.2 Development and/or strengthening of biosafety education and training programs at national and (sub)regional levels, including online and continuing education programs	Un master en biosécurité a été créé à la fois pour la formation diplômante et continue.
(c) Relevant documentation (including real-life dossiers and full risk	7.3 Exchange of information on existing biosafety education and training courses and programmes through the BCH	

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assessment reports) made available for biosafety education and education purposes	7.4 Integration of biosafety into the curricula of existing relevant academic programs and courses	Oui, il y a intégration dans les curricula des universités publiques et privées.
(d) Compilations of existing biosafety training and education initiatives and trainers are made available	7.5 Establishment of national and (sub)regional coordination mechanisms or networks for institutions involved in biosafety education and training to facilitate the sharing experiences and best practices	
(e) E-learning courses and other distance education and training programs on biosafety are available	7.6 Exchange of biosafety training and research materials among academic institutions	
(f) Scientific and professional conferences and workshops support exchange of information and experiences	7.7 Development of academic exchange and fellowship programs to facilitate the sharing of expertise, including through North-South and South-South cooperation	
(g) Biosafety regulators continuously trained through on-the-job and off-the-job training programmes	7.8 Expansion and maintenance of the database in the BCH on existing biosafety training and education programmes/courses, academic staff/experts on relevant subjects and training materials.	
	7.9 Strengthening the capacity of existing universities, research institutes and centres of excellence to deliver biosafety education and training	