*National Record[[1]](#footnote-2):* Country's Decision or any other Communication[[2]](#footnote-3)

*Fields marked with an asterisk (\*) are mandatory for the corresponding section.*

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| **Section A - General information** | | |
| 1. Is this an amendment to a decision or communication already published on the BCH?[[3]](#footnote-4):\* | Yes  └ Please enter the record number(s) containing the decision/communication being amended: *<BCH record number>*  └ Please provide a brief summary of the amendment(s): <Text entry>  *OR*  No | |
| 1. Country submitting the decision or communication[[4]](#footnote-5):\* | <Country name> | |
| 1. Competent National Authority(ies) responsible for the decision or communication:\* | *<BCH record number>*  *Please enter the BCH record number containing this information or, if no record exists, attach a “Competent National Authority” common format[[5]](#footnote-6).* | |
| 1. Title / Reference number of the decision or communication:\* | <Text entry> | |
| 1. Date of the decision:\* | <YYYY-MM-DD> | |
| 1. Is the decision taken prior to entry into force of the Protocol?: | Yes *OR*  No | |
| 1. Jurisdiction[[6]](#footnote-7): | <Text entry> | |
| 1. Subject of the decision, notification, communication or declaration:\*   *Common decisions*  8.1. Decision on LMOs for intentional introduction into the environment (according toArticle 10 or domestic regulatory framework)[[7]](#footnote-8) *Go to Section B*  8.2. Decision on LMOs for direct use as food or feed, or for processing (Article 11, LMOs-FFP) *Go to Section C*  *Other decisions*  8.3. Decision under the Simplified Procedure (Article 13) *Go to Section E*  8.4. Decision on Transit of LMOs (Article 6.1) *Go to Section H*  8.5. Decision on Contained use of LMOs (Article 6.2)[[8]](#footnote-9) *Go to Section G*  *Communications, notifications and declarations*  8.6. Notification of a release that leads, or may lead, to an unintentional transboundary movement of LMOs (Article 17.1)  *Go to Section F*  8.7. Communication of information about an illegal transboundary movement of an LMO (Article 25.3)  *Go to Section F*  8.8. Notification that a Party does not have access to the Biosafety Clearing-House (Article 11.1)  *Go to Section I*  8.9. Notification that domestic regulations shall apply with respect to specific imports of LMOs (Article 14.4)  *Go to Section H*  8.10. Communication of information on 'Handling, Transport, Packaging and Identification' (Article 18)[[9]](#footnote-10)  *Go to Section I*  8.11. Declaration that, in the absence of a domestic regulatory framework, decisions on LMOs-FFP will be taken according to Article 11.6  *Go to Section I*  8.12. Declaration made upon ratification of or accession to the Protocol  *Go to Section I*  8.13. Any other decisions, notifications, declarations or communications  <Text entry>  *Go to Section I* | | |

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| **Section B –** *Only complete this section if the subject of the decision (answer to question 8) is:* **LMOs for intentional introduction into the environment.** | |
| 1. Was the decision triggered by a request for a transboundary movement of LMOs into your country?:\* | Yes *OR*  No  **If answer is *Yes*:**  9.1. Was the decision taken in accordance with:\*  └  *the Advance Informed Agreement (AIA) procedure specified in Article 10*  OR └  *your domestic regulatory framework*  9.2. Date on which the notification was received:  <YYYY-MM-DD>  9.3. Date on which acknowledgement of receipt of the notification was sent to the notifier: <YYYY-MM-DD>  9.4. Date on which the decision was communicated to the notifier:  <YYYY-MM-DD>  9.5. Please provide Exporter’s contact details:\*  *Attach “Contact” common format[[10]](#footnote-11).*  9.6. Please provide Importer’s contact details:\*  *Attach “Contact” common format[[11]](#footnote-12).*  **If answer is *No*:**   * 1. Does the decision apply to transboundary movements of LMO(s) into your country?\*   Yes *OR*  No   * 1. Please provide Applicant’s contact details:\*   *Attach “Contact” common format[[12]](#footnote-13).* | |
| 1. Does the decision involve field trials?: | Yes *OR*  No | |
| 1. Does the decision allow commercial release?: | Yes *OR*  No | |
| 1. Will the decision apply to subsequent introductions of the same LMO(s) into the environment?:\* | Yes *OR*  No | |
| *Go to Section D* | | |

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| **Section C –** *Only complete this section if the subject of the decision (answer to question 8) is:* **LMOs for direct use as food or feed, or for processing (LMOs-FFP).** | |
| 1. Use(s) of LMO:\* | LMOs for direct use as food  LMOs for direct use as feed  LMOs for processing |
| 1. Has the decision been taken in the absence of a domestic regulatory framework and in accordance with Article 11.6?:\* | Yes *OR*  No |

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| 1. Type of decision:\* | Decision on import of LMOs (Article 11.4)  └ Please provide Exporter’s contact details:  *Attach “Contact” common format[[13]](#footnote-14).*  └ Please provide Importer’s/Applicant's contact details:\*  *Attach “Contact” common format[[14]](#footnote-15).*  Decision on domestic use of an LMO, including its placing on the market (Article 11.1):  └ Please provide Applicant’s contact details:\*  *Attach “Contact” common format[[15]](#footnote-16).* |
| *Go to Section D* | |

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| **Section D –** *Only complete this section if you filled out section B or C***.** |
| 1. Result of the decision (select one):\*   Approval of the import and/or use[[16]](#footnote-17) of the LMO(s) without conditions.  Approval of the import and/or use of the LMO(s) with conditions.  └ Specify the conditions:\* <Text entry>  └ Provide reasons:\* <Text entry>  Prohibition of the import and/or use of the LMO(s).  └ Provide reasons:\* <Text entry>  Request for additional relevant information.  └ Provide reasons:\* <Text entry>  Inform the notifier that the period for communicating the decision has been extended.  └ Specify the time extension in days:\* <Text entry>  └ Provide reasons:\* <Text entry> |
| *Go to Section G* |

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| **Section E –** *Only complete this section if the subject of the decision (answer to question 8) is:* **Simplified procedure.** | |
| 1. Subject of the decision under the simplified procedure: | Case(s) in which the intentional transboundary movement of LMOs may take place at the same time of the notification to the Party of import  └ Does the decision apply to subsequent similar imports of LMOs?\*  Yes *OR*  No  AND/OR  Decision specifying that the import of the LMO is exempt from the Advance Informed Agreement (AIA) procedure |
| *Go to Section G* | |

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| **Section F** **–** *Only complete this section if the subject of the communication/notification (answer to question 8) is:* **Illegal transboundary movement or unintentional transboundary movement.** |
| 1. Please select the type of transboundary movement:\*   Unintentional transboundary movement (Article 17.1) *go to question 19*  *OR*  Illegal transboundary movement (Article 25.3) *go to question 20* |
| 1. For an unintentional transboundary movement, please provide the following:    1. Information on the place where the occurrence and/or release occurred: <Text entry>    2. Available relevant information on the estimated quantities and relevant characteristics and/or traits of the living modified organism: <Text entry>    3. Information on the circumstances of the release: <Text entry>    4. Information on the estimated date of the release: <YYYY-MM-DD>    5. Information on the use of the living modified organism in the originating Party: <Text entry>    6. Information about the possible adverse effects on the conservation and sustainable use of biological diversity, taking also into account risks to human health, as well as available information about possible risk management measures: <Text entry>    7. Any other relevant information: <Text entry>    8. A point of contact for further information: <BCH record number> |
| 1. For an illegal transboundary movement, please provide either of the following:\*   LMO identification: <BCH record number> *OR*  <Text entry> where it has not been possible to identify the LMO in question. |
| *Go to Section I* |

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| **Section G – Information sharing with other databases** | |
| 1. Is this decision related to an LMO for commercial use?: | Yes *OR*  No  **If answer is *Yes*:**  └ Should this decision be forwarded to the OECD Secretariat for possible inclusion in the BioTrack Product Database[[17]](#footnote-18)?  Yes *OR*  No  └ Is this decision related to food safety?  Yes *OR*  No  └ Was it conducted in accordance with the Codex Alimentarius *Guideline for the Conduct of Food Safety Assessment of Foods Derived from Recombinant-DNA Plants*?  Yes *OR*  No  └ Should this information be forwarded to the Secretariat of the FAO GM Foods Platform[[18]](#footnote-19)?  └  Yes *OR*  No |
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| **Section H – LMO identification & risk assessment** | |
| 1. LMO identification:\*   *This field is mandatory where one of 8.1 to 8.5 has been selected.* | *<BCH record number>*  *Please enter the BCH record number containing this information or, if no record exists, attach an “LMO” common format[[19]](#footnote-20).* |
| 1. Risk assessment:\*   *This field is mandatory where one of 8.1 to 8.3 has been selected.* | *<BCH record number>*  *Please enter the BCH record number containing this information or, if no record exists, attach a “Risk assessment” common format[[20]](#footnote-21).* |
| *Go to Section I* | |

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| **Section I – Document on the decision, communication, notification or declaration** | |
| 1. Document text:\* | <Attachment> (preferred)[[21]](#footnote-22)  and/or <URL and website name> |

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| **Section J – Timeframe for confirmation or updating of information** | |
| 1. Should this information be confirmed or updated after two years from the date of submission?[[22]](#footnote-23):\* | Yes *OR*  No |
| **Section K – Additional information** | |
| 1. Any other relevant information:[[23]](#footnote-24) | <Text entry>  *and/or* <URL and website name>  *and/or* <Attachment> |
| 1. Notes:[[24]](#footnote-25) | <Text entry> |

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| **Record validation** | |
| Information should be submitted online to the BCH through the Submit page. This offline common format is made available to assist BCH users to gather and organize their records prior to submission to the BCH.  In case of difficulties in submitting this information online, the completed documents should be signed in the section below by the BCH National Focal Point and sent in MS Word format by e-mail to [bch@cbd.int](mailto:bch@cbd.int)**.**  Alternatively, it may be sent by fax to **+1 514 288 6588**.  or postal mail to:  **Secretariat of the Convention on Biological Diversity**  **413 rue Saint-Jacques, suite 800**  **Montreal, Quebec, H2Y 1N9**  **Canada**  **Important Notice:** Please note that in case this form is going to be sent via fax, postal mail or from an e-mail address different from the registered e-mail address of the BCH National Focal Point (BCH-NFP), a copy/scan of this signed page should be attached. | |
| Date:\* | <YYYY-MM-DD> |
| Country:\* | <Country name> |
| Name of the BCH National Focal Point:\* | <Text entry> |
| *I hereby confirm that the above information is correct and agree for its inclusion in the Biosafety Clearing-House.* | |
| Signature of the BCH National Focal Point:\* |  |

1. National records contain information that are usually part of a Party’s obligations under the Cartagena Protocol on Biosafety and must be validated by BCH National Focal Points prior to publication in the BCH. The common formats for national records can be accessed through the Submit page of the BCH. [↑](#footnote-ref-2)
2. Please note that to complete this form you may also need to download the following common format(s): “Competent National Authority”; “Contact”, “Risk Assessment” and “Living Modified Organism”. [↑](#footnote-ref-3)
3. This section is relevant when the decision being submitted is amending an existing decision. If the decision being published in the BCH is an amendment to an existing decision or a part of it, make sure that the decision being amended is already registered in the BCH in order to be able to provide a link to the existing record. This will allow the two records to be displayed together. [↑](#footnote-ref-4)
4. ***Important note for European Union (EU) Members only:***if the decision applies to EU Member States, the record must be published by the EU’s BCH focal point. The EU BCH focal point should select "European Union" in response to this question and the published record will appear in the country profiles of the EU Member States. [↑](#footnote-ref-5)
5. All BCH common formats can be accessed through the Submit page of the BCH. [↑](#footnote-ref-6)
6. Provide the jurisdiction where the decision applies if this is different from the country name indicated in response to question 1. [↑](#footnote-ref-7)
7. Intentional introduction into the environment can include introduction both for experimental or for commercial purposes. A field trial, confined field trial or experimental introduction is to be regarded as intentional introduction into the environment when the conditions specified in Article 3, paragraph b, of the Protocol are not met (decision CP-9/12). [↑](#footnote-ref-8)
8. “Contained use” is defined by the Protocol to mean “any operation, undertaken within a facility, installation or other physical structure, which involves living modified organisms that are controlled by specific measures that effectively limit their contact with, and their impact on, the external environment” (Article 3(b)). [↑](#footnote-ref-9)
9. See decision BS-III/10. [↑](#footnote-ref-10)
10. All BCH common formats can be accessed through the Submit page of the BCH. [↑](#footnote-ref-11)
11. All BCH common formats can be accessed through the Submit page of the BCH. [↑](#footnote-ref-12)
12. All BCH common formats can be accessed through the Submit page of the BCH. [↑](#footnote-ref-13)
13. All BCH common formats can be accessed through the Submit page of the BCH. [↑](#footnote-ref-14)
14. All BCH common formats can be accessed through the Submit page of the BCH. [↑](#footnote-ref-15)
15. All BCH common formats can be accessed through the Submit page of the BCH. [↑](#footnote-ref-16)
16. The term “use” refers to ‘direct use for food, feed or processing’ and/or ‘intentional introduction into the environment’. [↑](#footnote-ref-17)
17. https://biotrackproductdatabase.oecd.org/ [↑](#footnote-ref-18)
18. http://www.fao.org/food/food-safety-quality/gm-foods-platform/en/ [↑](#footnote-ref-19)
19. All BCH common formats can be accessed through the Submit page of the BCH. [↑](#footnote-ref-20)
20. All BCH common formats can be accessed through the Submit page of the BCH. [↑](#footnote-ref-21)
21. An attachment is preferred. Otherwise, provide the location of the document (i.e. web address including the URL of the website (e.g. [http://www.cbd.int](https://www.cbd.int/)) and the name of the website (e.g. “Convention on Biological Diversity”). [↑](#footnote-ref-22)
22. If the answer to this question is “Yes”, after two years from the date of submission you will be asked to confirm or update the record within 3 months. After this period, if no confirmation has been received, the record will be marked as “Not Confirmed”. [↑](#footnote-ref-23)
23. Please use this field to provide any other relevant information that may not have been addressed elsewhere in this record. [↑](#footnote-ref-24)
24. The “Notes” field is for personal use. It can only be seen when the record is being edited but is not visible when the record is published. This field is not meant to be used for confidential information. [↑](#footnote-ref-25)